

Penallta House,
Tredomen Park,
Ystrad Mynach,
Hengoed CF82 7PG

Ty Penallta,
Parc Tredomen,
Ystrad Mynach,
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For all enquiries relating to this agenda please contact Amy Dredge
(Tel: 01443 863100 Email: dredga@caerphilly.gov.uk)

Date: 8th October 2019

Dear Sir/Madam,

A meeting of the **Audit Committee** will be held in the **Sirhowy Room - Penallta House, Tredomen, Ystrad Mynach** on **Tuesday, 15th October, 2019** at **2.00 pm** to consider the matters contained in the following agenda. Councillors and the public wishing to speak on any item can do so by making a request to the Chair. You are also welcome to use Welsh at the meeting, both these requests require a minimum notice period of 3 working days, and a simultaneous translation will be provided if requested.

All Committee meetings are open to the Press and Public, observers and participants are asked to conduct themselves with respect and consideration for others. Please note that failure to do so will result in you being asked to leave the meetings and you may be escorted from the premises.

Yours faithfully,

A handwritten signature in black ink, appearing to read 'CHARRY'.

Christina HARRY
INTERIM CHIEF EXECUTIVE

A G E N D A

Pages

- 1 To receive apologies for absence.
- 2 Declarations of Interest.

Councillors and Officers are reminded of their personal responsibility to declare any personal and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.

A greener place Man gwyrddach



To approve and sign the following minutes: -

3 Audit Committee held on the 11th June 2019. 1 - 6

To approve and sign the following minutes: -

4 Special Audit Committee held on the 23rd July 2019. 7 - 10

To receive and consider the following reports: -

5 Audit Committee Forward Work Programme. 11 - 12

6 Annual Improvement Report 2018/19. 13 - 50

7 Corporate Risk Register Monitoring (Q1) 2019/20. 51 - 58

8 Proposed Reporting Protocol in Respect of Completed Audit Reviews and Recommendation Tracking. 59 - 62

9 Internal Audit Services Mid Year Progress Report. 63 - 68

10 2016/18 National Fraud Initiative Update. 69 - 74

11 Counter Fraud Arrangements in the Welsh Public Sector - Publication by the Wales Audit Office. 75 - 126

To receive and note the following information items:-

12 Register of Employees' Interests Forms 2018/19. 127 - 134

13 Officers Declarations of Gifts and Hospitality April to June 2019. 135 - 140

14 Certificate of Compliance for the Audit of CCBC 2019-20. 141 - 142

15 Regulation of Investigatory Powers Act 2000. 143 - 146

16 Final Audit of Financial Statements Report for 2018/19. 147 - 170

**If a member of the Audit Committee wishes for any of the above information reports to be brought forward for discussion at the meeting please contact Amy Dredge, 01443 863100, by 10.00 a.m. on Monday 14th October 2019.*

Circulation:

Councillors M.A. Adams, Mrs E.M. Aldworth, J. Bevan, A. Gair, Ms J. Gale, C.P. Mann, D.T. Hardacre, B. Miles, Mrs T. Parry, Mrs M.E. Sargent (Chair), G. Simmonds and J. Simmonds

Lay Member – Mr N.D. Yates (Vice Chair)

Auditors –Ms N. Jenkins (Wales Audit Office), Ms G. Hawkins (Grant Thornton UK LLP) and Mr B. Morris (Grant Thornton UK LLP)

And Appropriate Officers.

HOW WE WILL USE YOUR INFORMATION

Those individuals that attend committee meetings to speak/give evidence will be named in the minutes of that meeting, sometimes this will include their place of employment or business and opinions expressed. Minutes of Meetings including details of speakers will be publicly available to all via the Council website at www.caerphilly.gov.uk. except for discussions involving confidential or exempt items.

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